

ATYPICAL FINDINGS

➤ **The Atypical Findings Policy**, which is included in the Horseracing Integrity and Safety Authority's Anti-Doping and Medication Control (ADMC) Program, offers horsemen the opportunity to provide evidence to show that a substance entered a Covered Horse through environmental contamination (or was not administered exogenously), which may result in the test result being deemed a Negative Finding by the Horseracing Integrity & Welfare Unit (HIWU).

AN ATYPICAL FINDING (ATYPICAL) WILL ONLY BE ISSUED FOR THE PRESENCE OF THE FOLLOWING SUBSTANCES IN A SAMPLE:

➤ Specified Substances:

- Prohibited Substances arising from plants traditionally grazed or harvested as equine feed.
- Prohibited Substances that pose a higher risk of being present in equine feed due to contamination during cultivation, processing or treatment, storage, or transportation.

➤ Endogenous Substances (e.g. testosterone)

➤ Substances not specifically listed in the Prohibited List (e.g., emerging "designer drugs" not yet identified.)

FLOW CHART

1 Sample Collected



2 Substance included under the Atypical Findings Policy is detected by the laboratory and reported to HIWU.



3 HIWU conducts a review to determine whether there was any apparent departure from the ADMC Program's collection or laboratory protocols. HIWU may request analysis of the B ("split") Sample.



4 HIWU will notify the Responsible Person, e.g., trainer, and Interested Parties, e.g., owner, HISA, relevant state racing commission (if applicable) of the Atypical.



5 The trainer will have the opportunity to provide information to HIWU relating to the finding, including any possible explanation for the presence of the substance in the Covered Horse's system. If the Atypical concerns an endogenous Prohibited Substance, HIWU will request that the trainer provides relevant veterinary information for the Covered Horse.



6 HIWU will issue a written decision following investigation of the Atypical, which will include a summary of the basis for that decision. If HIWU determines that the Atypical should be deemed a Negative Finding, no further action will be taken, and no results will be forfeited. If HIWU determines that the Atypical should be pursued as an Adverse Analytical Finding / positive test, HIWU will send the trainer an Equine Anti-Doping Notice or Equine Controlled Medication Notice, as applicable. The case will then be referred to the ADMC Program's Arbitration Procedures for adjudication.



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Note that HIWU's decision is not subject to review.